

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	1290929
<b>Application Number:</b>	09878338
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	2153
<b>Title of Invention:</b>	Trading and auction system, and methods for the authentication of buyers and sellers and for the transmission of trading instructions in a trading and auction system
<b>First Named Inventor/Applicant Name:</b>	Dennis Mendiola
<b>Customer Number:</b>	24943
<b>Filer:</b>	Otto O. Lee/Juneke Jackson
<b>Filer Authorized By:</b>	Otto O. Lee
<b>Attorney Docket Number:</b>	YSAP.CHIKKA.PT5
<b>Receipt Date:</b>	02-NOV-2006
<b>Filing Date:</b>	12-JUN-2001
<b>Time Stamp:</b>	20:53:33
<b>Application Type:</b>	Utility

### Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$ 1240
RAM confirmation Number	830
Deposit Account	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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1	Amendment Submitted/Entered with Filing of CPA/RCE	Amendment.pdf	100935	no	1
<b>Warnings:</b>					
<b>Information:</b>					
2	Claims	Claims.pdf	103856	no	12
<b>Warnings:</b>					
<b>Information:</b>					
3	Applicant Arguments/Remarks Made in an Amendment	Remarks.pdf	113380	no	6
<b>Warnings:</b>					
<b>Information:</b>					
4	Request for Continued Examination (RCE)	sb0030_fill.pdf	253111	no	3
<b>Warnings:</b>					
This is not a USPTO supplied RCE SB30 form.					
<b>Information:</b>					
5	Fee Worksheet (PTO-875)	fee-info.pdf	8381	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			579663		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p>					